

CDC/NHSN Surveillance Definition of Healthcare-Associated Infection and Criteria for Specific Types of Infections in the Acute Care Setting

This chapter contains the CDC/NHSN surveillance definition of healthcare-associated infection (HAI) and criteria for all specific types of HAI. These criteria include those for the "Big Four" infection types (surgical site infection [SSI], pneumonia [PNEU], bloodstream infection [BSI] and urinary tract infection [UTI]), outlined in earlier chapters of this manual, as well as criteria for other types of HAI. Of particular importance, this chapter provides further required criteria for the specific event types that constitute organ/space SSIs (e.g., mediastinitis [MED] that may follow a coronary artery bypass graft, intra-abdominal abscess [IAB] after colon surgery). Additionally, it is necessary to refer to the criteria in this chapter when determining whether a positive blood culture represents a primary BSI or is secondary to a different type of HAI. A BSI that is identified as secondary to another site of infection must meet one of the criteria of HAI detailed in this chapter. Secondary BSIs are not reported as separate events in NHSN, nor can nor should they be associated with a central line.

NOTE: Some CDC/NHSN definitions and criteria have been updated since the article
contained in this chapter was published. In such cases, the updates to any
criteria which are no longer valid have been listed and the changes summarized
in the table below.

Section	Update	Document/Article Page
 UTI-Urinary Tract Infection: SUTI- Symptomatic urinary tract infection ASB- Asymptomatic bacteriuria 	 Changed as of 1/1/2009. See Appendix, pages 17-27 through 17-30. 1) SUTI- criteria dependent on current, recent or no presence of indwelling urinary catheter and age of patient. 2) ASB- removed as specific infection type. 3) Specific infection type - Asymptomatic bacteremic urinary tract infection (ABUTI) created. 	310
2. Table 1. CDC/NHSN major and specific types of healthcare-associated infections	 ABUTI- Asymptomatic bacteremic urinary tract infection added as specific infection type. ASB- Asymptomatic 	311



Section	Update	Document/Article Page
	bacteriuria removed as specific infection type.	
 3. OUTI- Other infections of the urinary tract (kidney, ureter, bladder, urethra, or tissue surrounding the retroperitoneal or perinephric space): 3 d &e 4 d & e Reporting instruction 	Removed (d) physician diagnosis of specific infections and (e) physician's institution of appropriate therapy from the criteria for OUTI for any age patient.	312
 4. BSI-Bloodstream Infection: LCBI- Laboratory-confirmed bloodstream infection Criterion #2 Criterion #3 	 Criterion 2 and 3: Change terminology "common skin contaminant" to "common commensal"; exclude <i>Corynebacterium diphtheria</i> from <i>Corynebacterium</i> spp.; Removed: "4. There are several issues to consider when determining sameness of organisms" Table 2. (Examples of how to interpret the sameness of two skin contaminant isolates by comparing antimicrobial susceptibilities) 4 b. If common skin contaminant organisms from the cultures are speciated but no antibiograms are done or they are done for only 1 of the isolates, it is assumed that the organisms are the same. 4 c. If the common skin contaminants from the cultures have antibiograms that are different for 2 or more antimicrobial agents, it is assumed that the organisms are not the same (see 	314

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	examples in Table 3). 4 d. For the purpose of NHSN antibiogram reporting, the category interpretation of intermediate (I) should not be used to distinguish whether 2 organisms are the same. Added: Only genus and species identification should be utilized to determine the sameness of organisms. No additional comparative methods should be used (e.g., morphology or antibiograms) because laboratory testing capabilities and protocols may vary between facilities. This will reduce reporting variability, solely due to laboratory practice, between facilities reporting LCBIs meeting criterion 2. Report the organism to the genus/species level only once, and if antibiogram data are available, report the results from the most resistant panel.	
	 Criterion 3 (for patient ≤ 1 year of age): Fever (>38°C, core) replaces fever (>38°C, rectal). Hypothermia(<36°C, core) replaces hypothermia(<37°C, rectal). <u>REPORTING INSTRUCTIONS</u>: Report organisms cultured from blood as BSI – LCBI when no other site of infection is evident. When there is a positive blood culture and clinical signs or symptoms of localized infection at a vascular access site, but no other infection can be found, the infection is considered a primary BSI. Purulent phlebitis confirmed with a positive semiquantitative culture of a catheter tip, but with either 	

Section	Update	Document/Article Page
	negative or no blood culture is considered a CVS-VASC, not a BSI nor an SST-SKIN or ST infection. Occasionally a patient with both peripheral and central IV lines develops a primary bloodstream infection (LCBI) that can clearly be attributed to the peripheral line (e.g., pus at the insertion site and matching pathogen from pus and blood). In this situation, enter "Central Line = No" in the NHSN application. You should, however, include the patient's central line days in the summary denominator count.	
5. CSEP- Clinical Sepsis-	Removed CSEP as a CDC/NHSN infection type as of 1/1/2010.	316
 6. Skin and Soft Tissue Infection: Reporting instructions 	Added Instruction: Even if there are clinical signs or symptoms of localized infection at a vascular access site, but no other infection can be found, the infection is considered a primary BSI.	324-325

AJC major articles

CDC/NHSN surveillance definition of health care-associated infection and criteria for specific types of infections in the acute care setting

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BACKGROUND

Since 1988, the Centers for Disease Control and Prevention (CDC) has published 2 articles in which nosocomial infection and criteria for specific types of nosocomial infection for surveillance purposes for use in acute care settings have been defined.^{1,2} This document replaces those articles, which are now considered obsolete, and uses the generic term "health care-associated infection" or "HAI" instead of "nosocomial." This document reflects the elimination of criterion 1 of clinical sepsis (effective in National Healthcare Safety Network [NHSN] facilities since January 2005) and criteria for laboratory-confirmed bloodstream infection (LCBI). Specifically for LCBI, criterion 2c and 3c, and 2b and 3b, were removed effective in NHSN facilities since January 2005 and January 2008, respectively. The definition of "implant," which is part of the surgical site infection (SSI) criteria, has been slightly modified. No other infection criteria have been added, removed, or changed. There are also notes throughout this document that reflect changes in the use of surveillance criteria since the implementation of NHSN. For example, the

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population for which clinical sepsis is used has been restricted to patients \leq 1 year old. Another example is that incisional SSI descriptions have been expanded to specify whether an SSI affects the primary or a secondary incision following operative procedures in which more than 1 incision is made. For additional information about how these criteria are used for NHSN surveillance, refer to the *NHSN Manual: Patient Safety Component Protocol* available at the NHSN Web site (www.cdc.gov/ncidod/ dhqp/nhsn.html). Whenever revisions occur, they will be published and made available at the NHSN Web site.

CDC/NHSN SURVEILLANCE DEFINITION OF HEALTH CARE-ASSOCIATED INFECTION

For the purposes of NHSN surveillance in the acute care setting, the CDC defines an HAI as a localized or systemic condition resulting from an adverse reaction to the presence of an infectious agent(s) or its toxin(s). There must be no evidence that the infection was present or incubating at the time of admission to the acute care setting.

HAIs may be caused by infectious agents from endogenous or exogenous sources.

- Endogenous sources are body sites, such as the skin, nose, mouth, gastrointestinal (GI) tract, or vagina that are normally inhabited by microorganisms.
- Exogenous sources are those external to the patient, such as patient care personnel, visitors, patient care equipment, medical devices, or the health care environment.

Other important considerations include the following:

• Clinical evidence may be derived from direct observation of the infection site (eg, a wound) or review of information in the patient chart or other clinical records.

- For certain types of infection, a physician or surgeon diagnosis of infection derived from direct observation during a surgical operation, endoscopic examination, or other diagnostic studies or from clinical judgment is an acceptable criterion for an HAI, unless there is compelling evidence to the contrary. For example, one of the criteria for SSI is "surgeon or attending physician diagnosis." Unless stated explicitly, physician diagnosis alone is not an acceptable criterion for any specific type of HAI.
- Infections occurring in infants that result from passage through the birth canal are considered HAIs.
- The following infections are *not* considered health care associated:
 - Infections associated with complications or extensions of infections already present on admission, unless a change in pathogen or symptoms strongly suggests the acquisition of a new infection;
 - o infections in infants that have been acquired transplacentally (eg, herpes simplex, toxoplasmosis, rubella, cytomegalovirus, or syphilis) and become evident ≤48 hours after birth; and
 - reactivation of a latent infection (eg, herpes zoster [shingles], herpes simplex, syphilis, or tuberculosis).
- The following conditions are *not* infections:
 - Colonization, which means the presence of microorganisms on skin, on mucous membranes, in open wounds, or in excretions or secretions but are not causing adverse clinical signs or symptoms; and
 - inflammation that results from tissue response to injury or stimulation by noninfectious agents, such as chemicals.

CRITERIA FOR SPECIFIC TYPES OF INFECTION

Once an infection is deemed to be health care associated according to the definition shown above, the specific type of infection should be determined based on the criteria detailed below. These have been grouped into 13 major type categories to facilitate data analysis. For example, there are 3 specific types of urinary tract infections (symptomatic urinary tract infection, asymptomatic bacteriuria, and other infections of the urinary tract) that are grouped under the major type of Urinary Tract Infection. The specific and major types of infection used in NHSN and their abbreviated codes are listed in Table 1, and the criteria for each of the specific types of infection follow it.

USE OF THESE CRITERIA FOR PUBLICLY REPORTED HAI DATA

Not all infections or infection criteria may be appropriate for use in public reporting of HAIs. Guidance on what infections and infection criteria are recommended is available from other sources (eg, HICPAC [http: //www.cdc.gov/ncidod/dhqp/hicpac_pubs.html]; National Quality Forum [http://www.qualityforum.org/]; professional organizations).

UTI-URINARY TRACT INFECTION

SUTI-Symptomatic urinary tract infection

A symptomatic urinary tract infection must meet at least *1* of the following criteria:

1. Patient has at least *1* of the following signs or symptoms with no other recognized cause: fever (>38°C), urgency, frequency, dysuria, or suprapubic tenderness

and

patient has a positive urine culture, that is, $\geq 10^5$ microorganisms per cc of urine with no more than 2 species of microorganisms.

2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), urgency, frequency, dysuria, or suprapubic tenderness

and

- at least 1 of the following
 - a. positive dipstick for leukocyte esterase and/ or nitrate
 - b. pyuria (urine specimen with ≥ 10 white blood cell [WBC]/mm³ or ≥ 3 WBC/highpower field of unspun urine)
 - c. organisms seen on Gram's stain of unspun urine
 - d. at least 2 urine cultures with repeated isolation of the same uropathogen (gramnegative bacteria or *Staphylococcus saprophyticus*) with $\geq 10^2$ colonies/mL in non-voided specimens
 - e. $\leq 10^5$ colonies/mL of a single uropathogen (gram-negative bacteria or *S saprophyticus*) in a patient being treated with an effective antimicrobial agent for a urinary tract infection
 - f. physician diagnosis of a urinary tract infection
 - g. physician institutes appropriate therapy for a urinary tract infection.
- Patient ≤1 year of age has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia

Table I.	CDC/NHSN	major	and	specific	types	of health
care-asso	ciated infectio	ons				

cui c - a5500	ciated infections	EENI		EENT	Eye, ear, nose, throat, or mouth infection	
υτι	Urinary tract in	fection			CONJ	Conjunctivitis
	SUTI	Symptomatic (irinary		EYE	Eye, other
		tract infecti	on			than conjunctivitis
	ASB	Asymptomatic	bacteriuria		EAR	Ear, mastoid
	OUTI	Other infectio	ns		ORAL	Oral cavity
		of the urina	ry tract			(mouth, tongue, or gums)
SSI	Surgical site infe	ection			SINU	Sinusitis
	SIP	Superficial inci	sional		UR	Upper respiratory
		primary SSI				tract, pharyngitis,
	SIS	Superficial inci	sional			laryngitis, epiglottitis
		secondary S	SI	GI	Castusintesti	nal avatana infaction
	DIP	Deep incisiona	l	Gi	GE	nal system infection Gastroenteritis
		primary SSI			GIT	
	DIS	Deep incisiona	l		HEP	Gastrointestinal (GI) tract
		secondary S	SI		IAB	Hepatitis
	Organ/space	Organ/space S	SI. Indicate		IAD	Intraabdominal, not specified elsewhere
		specific type	2:		NEC	Necrotizing enterocolitis
		 BONE 	• LUNG		INEC	Necrotizing enterocontis
		• BRST	MED	LRI	l ower respira	atory tract infection, other
				2.0	than pneun	
		• CARD	MEN		BRON	Bronchitis, tracheobronchitis
		 DISC 	 ORAL 		BROIN	tracheitis, without
		• EAR	 OREP 			evidence of pneumonia
		• EMET	● OUTI		LUNG	Other infections
						of the lower
		● ENDO	• SA			respiratory tract
		• EYE	• SINU			
		• GIT	• UR	REPR	Reproductive	tract infection
		• IAB	VASC		EMET	Endometritis
		• IC	VCUF		EPIS	Episiotomy
			• • • • • • • • • • • • • • • • • • • •		VCUF	Vaginal cuff
		• JNT			OREP	Other infections
BSI	Bloodstream inf		A			of the male
	LCBI	Laboratory-co				or female reproductive
	0055	bloodstream	ninfection			tract
	CSEP	Clinical sepsis				
	_ .			SST		tissue infection
PNEU	Pneumonia				SKIN	Skin
	PNUI	Clinically defir	•		ST	Soft tissue
	PNU2	Pneumonia wi			DECU	Decubitus ulcer
			oratory findings		BURN	Burn
	PNU3	Pneumonia in			BRST	Breast abscess
		immunocon	promised			or mastitis
		patient			UMB	Omphalitis
BJ	Bono and joint i	nfoction			PUST	Pustulosis
ы	Bone and joint i BONE	Osteomyelitis			CIRC	Newborn circumcision
	JNT					
	DISC	Joint or bursa		SYS	Systemic Infe	
	DISC	Disc space			DI	Disseminated infection
CNS	Central nervous	s system		1.25	7°C montal)	a bradycandia descenia 1-th
	IC	Intracranial inf	ection		-	ea, bradycardia, dysuria, leth
	MEN	Meningitis or	ventriculitis	arg	y, or vomiting	
	SA	Spinal abscess		and	!	
		, without me	ningitis	pat	ient has a positiv	ve urine culture, that is, ≥ 10
						r cc of urine with no mor
cvs	Candiovacaulan	Cardiovascular system infection		1110	roorganisms per	

Table I. Continued

CVS Cardiovascular system infection VASC Arterial or venous infection ENDO Endocarditis CARD Myocarditis or pericarditis MED Mediastinitis

Continued

5 ^e roorganisi is pe than two species of microorganisms.

4. Patient ≤ 1 year of age has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), hypothermia (<37°C), apnea, bradycardia, dysuria, lethargy, or vomiting

and

at least 1 of the following:

- a. positive dipstick for leukocyte esterase and/ or nitrate
- b. pyuria (urine specimen with $\geq 10 \text{ WBC/mm}^3$ or $\geq 3 \text{ WBC/high-power field of unspun}$ urine)
- c. organisms seen on Gram's stain of unspun urine
- d. at least 2 urine cultures with repeated isolation of the same uropathogen (gramnegative bacteria or *S* saprophyticus) with $\geq 10^2$ colonies/mL in nonvoided specimens
- e. $\leq 10^5$ colonies/mL of a single uropathogen (gram-negative bacteria or *S saprophyticus*) in a patient being treated with an effective antimicrobial agent for a urinary tract infection
- f. physician diagnosis of a urinary tract infection
- g. physician institutes appropriate therapy for a urinary tract infection.

ASB-Asymptomatic bacteriuria

An asymptomatic bacteriuria must meet at least *1* of the following criteria:

1. Patient has had an indwelling urinary catheter within 7 days before the culture *and*

patient has a positive urine culture, that is, $\geq 10^5$ microorganisms per cc of urine with no more than 2 species of microorganisms *and*

patient has no fever (>38°C), urgency, frequency, dysuria, or suprapubic tenderness.

2. Patient has *not* had an indwelling urinary catheter within 7 days before the first positive culture *and*

patient has had at least 2 positive urine cultures, that is, $\geq 10^5$ microorganisms per cc of urine with repeated isolation of the same microorganism and no more than 2 species of microorganisms

and

patient has no fever (>38°C), urgency, frequency, dysuria, or suprapubic tenderness.

Comments

• A positive culture of a urinary catheter tip is *not* an acceptable laboratory test to diagnose a urinary tract infection.

- Urine cultures must be obtained using appropriate technique, such as clean catch collection or catheterization.
- In infants, a urine culture should be obtained by bladder catheterization or suprapubic aspiration; a positive urine culture from a bag specimen is unreliable and should be confirmed by a specimen aseptically obtained by catheterization or suprapubic aspiration.

OUTI-Other infections of the urinary tract (kidney, ureter, bladder, urethra, or tissue surrounding the retroperitoneal or perinephric space)

Other infections of the urinary tract must meet at least *1* of the following criteria:

- 1. Patient has organisms isolated from culture of fluid (other than urine) or tissue from affected site.
- 2. Patient has an abscess or other evidence of infection seen on direct examination, during a surgical operation, or during a histopathologic examination.
- Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), localized pain, or localized tenderness at the involved site and

at least 1 of the following:

- a. purulent drainage from affected site
- b. organisms cultured from blood that are compatible with suspected site of infection
- c. radiographic evidence of infection (eg, abnormal ultrasound, computerized tomography [CT] scan, magnetic resonance imaging [MRI], or radiolabel scan [gallium, technetium], etc)
- d. physician diagnosis of infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space
- e. physician institutes appropriate therapy for an infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space.
- 4. Patient ≤1 year of age has at least *1* of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, lethargy, or vomiting *and*

at least 1 of the following:

- a. purulent drainage from affected site
- b. organisms cultured from blood that are compatible with suspected site of infection



- c. radiographic evidence of infection (eg, abnormal ultrasound, CT scan, MRI, or radiolabel scan [gallium, technetium])
- d. physician diagnosis of infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space
- e. physician institutes appropriate therapy for an infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space.

Reporting instruction

• Report infections following circumcision in newborns as CIRC.

SSI-SURGICAL SITE INFECTION

SIP/SIS-Superficial incisional surgical site infection

A superficial incisional SSI (SIP or SIS) must meet the following criterion:

Infection occurs within 30 days after the operative procedure

and

anđ

patient has at least 1 of the following:

- a. purulent drainage from the superficial incision
- b. organisms isolated from an aseptically obtained culture of fluid or tissue from the superficial incision
- c. at least *1* of the following signs or symptoms of infection: pain or tenderness, localized swelling, redness, or heat, *and* superficial incision is deliberately opened by surgeon and is culture positive or not cultured. A culture-negative finding does not meet this criterion.
- d. diagnosis of superficial incisional SSI by the surgeon or attending physician.

There are 2 specific types of superficial incisional SSI:

- *Superficial incisional primary (SIP):* a superficial incisional SSI that is identified in the primary incision in a patient who has had an operation with 1 or more incisions (eg, C-section incision or chest incision for coronary artery bypass graft with a donor site [CBGB]).
- Superficial incisional secondary (SIS): a superficial incisional SSI that is identified in the secondary incision in a patient who has had an operation with more than 1 incision (eg, donor site [leg] incision for CBGB).

Reporting instructions

- Do not report a stitch abscess (minimal inflammation and discharge confined to the points of suture penetration) as an infection.
- Do not report a localized stab wound infection as SSI, instead report as skin (SKIN), or soft tissue (ST), infection, depending on its depth.
- Report infection of the circumcision site in newborns as CIRC. Circumcision is not an NHSN operative procedure.
- Report infected burn wound as BURN.
- If the incisional site infection involves or extends into the fascial and muscle layers, report as a deep incisional SSI.
- Classify infection that involves both superficial and deep incision sites as deep incisional SSI.

DIP/DIS-Deep incisional surgical site infection

A deep incisional SSI (DIP or DIS) must meet the following criterion:

Infection occurs within 30 days after the operative procedure if no implant¹ is left in place or within 1 year if implant is in place and the infection appears to be related to the operative procedure *and*

involves deep soft tissues (eg, fascial and muscle layers) of the incision

and

patient has at least 1 of the following:

- a. purulent drainage from the deep incision but not from the organ/space component of the surgical site
- b. a deep incision spontaneously dehisces or is deliberately opened by a surgeon and is culture-positive or not cultured when the patient has at least 1 of the following signs or symptoms: fever (>38°C), or localized pain or tenderness. A culture-negative finding does not meet this criterion.
- c. an abscess or other evidence of infection involving the deep incision is found on direct examination, during reoperation, or by histopathologic or radiologic examination
- d. diagnosis of a deep incisional SSI by a surgeon or attending physician.

There are 2 specific types of deep incisional SSI:

• *Deep incisional primary (DIP)*: a deep incisional SSI that is identified in a primary incision in a patient

¹A nonhuman-derived object, material, or tissue (eg, prosthetic heart valve, nonhuman vascular graft, mechanical heart, or hip prosthesis) that is permanently placed in a patient during an operative procedure and is not routinely manipulated for diagnostic or therapeutic purposes.

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who has had an operation with one or more incisions (eg, C-section incision or chest incision for CBGB); and

• *Deep incisional secondary (DIS):* a deep incisional SSI that is identified in the secondary incision in a patient who has had an operation with more than 1 incision (eg, donor site [leg] incision for CBGB).

Reporting instruction

• Classify infection that involves *both* superficial and deep incision sites as deep incisional SSI.

Organ/space-Organ/space surgical site infection

An organ/space SSI involves any part of the body, excluding the skin incision, fascia, or muscle layers, that is opened or manipulated during the operative procedure. Specific sites are assigned to organ/space SSI to identify further the location of the infection. Listed below in reporting instructions are the specific sites that must be used to differentiate organ/space SSI. An example is appendectomy with subsequent subdiaphragmatic abscess, which would be reported as an organ/space SSI at the intraabdominal specific site (SSI-IAB).

An organ/space SSI must meet the following criterion:

Infection occurs within 30 days after the operative procedure if no implant¹ is left in place or within 1 year if implant is in place and the infection appears to be related to the operative procedure

and

infection involves any part of the body, excluding the skin incision, fascia, or muscle layers, that is opened or manipulated during the operative procedure *and*

patient has at least 1 of the following:

- a. purulent drainage from a drain that is placed through a stab wound into the organ/space
- b. organisms isolated from an aseptically obtained culture of fluid or tissue in the organ/space
- c. an abscess or other evidence of infection involving the organ/space that is found on direct examination, during reoperation, or by histopathologic or radiologic examination
- d. diagnosis of an organ/space SSI by a surgeon or attending physician.

Reporting instructions

• Specific sites of organ/space SSI (see also criteria for these sites)

○ BRST	

\odot DISC	
\odot EAR	○ OREP
	\odot OUTI
	\circ sa
○ EYE	\odot SINU
⊖ GIT	\odot UR
\bigcirc IAB	\odot VASC
\circ IC	

• Occasionally an organ/space infection drains through the incision. Such infection generally does not involve reoperation and is considered a complication of the incision; therefore, classify it as a deep incisional SSI.

BSI-BLOODSTREAM INFECTION

LCBI-Laboratory-confirmed bloodstream infection

LCBI criteria 1 and 2 may be used for patients of any age, including patients ≤ 1 year of age.

LCBI must meet at least 1 of the following criteria:

 Patient has a recognized pathogen cultured from 1 or more blood cultures and organism cultured from blood is *not* related to an

infection at another site. (See Notes 1 and 2.)

2. Patient has at least 1 of the following signs or symptoms: fever (>38°C), chills, or hypotension and

signs and symptoms and positive laboratory results are *not* related to an infection at another site *and*

common skin contaminant (ie, diphtheroids [*Corynebacterium* spp], *Bacillus* [not *B anthracis*] spp, *Propionibacterium* spp, coagulase-negative staphylococci [including *S epidermidis*], viridans group streptococci, *Aerococcus* spp, *Micrococcus* spp) is cultured from 2 or more blood cultures drawn on separate occasions. (See Notes 3 and 4.)

3. Patient ≤ 1 year of age has at least *1* of the following signs or symptoms: fever (>38°C, rectal), hypothermia (<37°C, rectal), apnea, or bradycardia *and*

signs and symptoms and positive laboratory results are *not* related to an infection at another site *and*

common skin contaminant (ie, diphtheroids [Corynebacterium spp], Bacillus [not B

anthracis] spp, Propionibacterium spp, coagulasenegative staphylococci [including *S epidermidis*], viridans group streptococci, *Aerococcus* spp, *Micrococcus* spp) is cultured from 2 or more blood cultures drawn on separate occasions. (See Notes 3 and 4.)

Notes

- 1. In criterion 1, the phrase "1 or more blood cultures" means that at least 1 bottle from a blood draw is reported by the laboratory as having grown organisms (ie, is a positive blood culture).
- 2. In criterion 1, the term "recognized pathogen" does not include organisms considered common skin contaminants (see criteria 2 and 3 for a list of common skin contaminants). A few of the recognized pathogens are S aureus, Enterococcus spp, E coli, Pseudomonas spp, Klebsiella spp, Candida spp, and others.
- 3. In criteria 2 and 3, the phrase "2 or more blood cultures drawn on separate occasions" means (1) that blood from at least 2 blood draws were collected within 2 days of each other (eg, blood draws on Monday and Tuesday or Monday and Wednesday would be acceptable for blood cultures drawn on separate occasions, but blood draws on Monday and Thursday would be too far apart in time to meet this criterion) and (2) that at least 1 bottle from each blood draw is reported by the laboratory as having grown the same common skin contaminant organism (ie, is a positive blood culture). (See Note 4 for determining sameness of organisms.)
 - a. For example, an adult patient has blood drawn at 8 AM and again at 8:15 AM of the same day. Blood from each blood draw is inoculated into 2 bottles and incubated (4 bottles total). If 1 bottle from each blood draw set is positive for coagulase-negative staphylococci, this part of the criterion is met.
 - b. For example, a neonate has blood drawn for culture on Tuesday and again on Saturday, and both grow the same common skin contaminant. Because the time between these blood cultures exceeds the 2-day period for blood draws stipulated in criteria 2 and 3, this part of the criteria is not met.
 - c. A blood culture may consist of a single bottle for a pediatric blood draw because of volume constraints. Therefore, to meet this part of the criterion, each bottle from 2 or more draws would have to be culture positive for the same skin contaminant.
- 4. There are several issues to consider when determining sameness of organisms.
 - a. If the common skin contaminant is identified to the species level from 1 culture,

Table 2.	Examples	of "sameness"	' by organism	speciation
Table L.	LAAIIIPICS	or sameness	by organism	speciation

-		-
Culture	Companion Culture	Report as
S epidermidis	Coagulase-negative staphylococci	S epidermidis
Bacillus spp (not anthracis)	B cereus	B cereus
S salivarius	Strep viridans	S salivarius

Table 3.	Examples	of '	'sameness"	by organism
antibiogra	m			

Organism Name	Isolate A	Isolate B	Interpret as
S epidermidis	All drugs S	All drugs S	Same
S epidermidis	OX R	OX S	Different
	CEFAZ R	CEFAZ S	
Corynebacterium spp	PENG R	PENG S	Different
	CIPRO S	CIPRO R	
Strep viridans	All drugs S	All drugs S	Same
		except	
		ERYTH R	

S, sensitive; R, resistant.

and a companion culture is identified with only a descriptive name (ie, to the genus level), then it is assumed that the organisms are the same. The speciated organism should be reported as the infecting pathogen (see examples in Table 2).

- b. If common skin contaminant organisms from the cultures are speciated but no antibiograms are done or they are done for only 1 of the isolates, it is assumed that the organisms are the same.
- c. If the common skin contaminants from the cultures have antibiograms that are different for 2 or more antimicrobial agents, it is assumed that the organisms are not the same (see examples in Table 3).
- d. For the purpose of NHSN antibiogram reporting, the category interpretation of intermediate (I) should not be used to distinguish whether 2 organisms are the same.

Specimen collection considerations

Ideally, blood specimens for culture should be obtained from 2 to 4 blood draws from separate venipuncture sites (eg, right and left antecubital veins), not through a vascular catheter. These blood draws should be performed simultaneously or over a short period of time (ie, within a few hours).^{3,4} If your facility does not currently obtain specimens using this technique, you may still report BSIs using the criteria and notes above, but you should work with appropriate personnel to facilitate better specimen collection practices for blood cultures.

Reporting instructions

- Purulent phlebitis confirmed with a positive semiquantitative culture of a catheter tip, but with either negative or no blood culture is considered a CVS-VASC, not a BSI.
- Report organisms cultured from blood as BSI-LCBI when no other site of infection is evident.

CSEP-CLINICAL SEPSIS

CSEP may be used only to report primary BSI in neonates and infants. It is not used to report BSI in adults and children.

Clinical sepsis must meet the following criterion:

Patient ≤ 1 year of age has at least *1* of the following clinical signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, or bradycardia

and

blood culture *not* done or *no* organisms detected in blood

and

no apparent infection at another site

and

physician institutes treatment for sepsis.

Reporting instruction

• Report culture-positive infections of the blood-stream as BSI-LCBI.

PNEU-PNEUMONIA

See Appendix.

BJ-BONE AND JOINT INFECTION

BONE-Osteomyelitis

Osteomyelitis must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from bone.
- 2. Patient has evidence of osteomyelitis on direct examination of the bone during a surgical operation or histopathologic examination.
- 3. Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), localized swelling, tenderness, heat, or drainage at suspected site of bone infection

and

at least 1 of the following:

- a. organisms cultured from blood
- b. positive blood antigen test (eg, *H influenzae*, *S pneumoniae*)

c. radiographic evidence of infection (eg, abnormal findings on x-ray, CT scan, MRI, radiolabel scan [gallium, technetium, etc]).

Reporting instruction

• Report mediastinitis following cardiac surgery that is accompanied by osteomyelitis as SSI-MED rather than SSI-BONE.

JNT-Joint or bursa

Joint or bursa infections must meet at least 1 of the following criteria:

- 1. Patient has organisms cultured from joint fluid or synovial biopsy.
- 2. Patient has evidence of joint or bursa infection seen during a surgical operation or histopathologic examination.
- 3. Patient has at least 2 of the following signs or symptoms with no other recognized cause: joint pain, swelling, tenderness, heat, evidence of effusion or limitation of motion

and

at least 1 of the following:

- a. organisms *and* white blood cells seen on Gram's stain of joint fluid
- b. positive antigen test on blood, urine, or joint fluid
- c. cellular profile and chemistries of joint fluid compatible with infection and *not* explained by an underlying rheumatologic disorder
- d. radiographic evidence of infection (eg, abnormal findings on x-ray, CT scan, MRI, radiolabel scan [gallium, technetium, etc]).

DISC-Disc space infection

Vertebral disc space infection must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from vertebral disc space tissue obtained during a surgical operation or needle aspiration.
- 2. Patient has evidence of vertebral disc space infection seen during a surgical operation or histopathologic examination.
- 3. Patient has fever (>38°C) with no other recognized cause or pain at the involved vertebral disc space and

radiographic evidence of infection, (eg, abnormal findings on x-ray, CT scan, MRI, radiolabel scan [gallium, technetium, etc]).

4. Patient has fever (>38°C) with no other recognized cause and pain at the involved vertebral disc space

and

positive antigen test on blood or urine (eg, *H influenzae*, *S pneumoniae*, *N meningitidis*, or Group B *Streptococcus*).

CNS-CENTRAL NERVOUS SYSTEM INFECTION

IC-Intracranial infection (brain abscess, subdural or epidural infection, encephalitis)

Intracranial infection must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from brain tissue or dura.
- 2. Patient has an abscess or evidence of intracranial infection seen during a surgical operation or histopathologic examination.
- 3. Patient has at least 2 of the following signs or symptoms with no other recognized cause: headache, dizziness, fever (>38°C), localizing neurologic signs, changing level of consciousness, or confusion

and

at least 1 of the following:

- a. organisms seen on microscopic examination of brain or abscess tissue obtained by needle aspiration or by biopsy during a surgical operation or autopsy
- b. positive antigen test on blood or urine
- c. radiographic evidence of infection, (eg, abnormal findings on ultrasound, CT scan, MRI, radionuclide brain scan, or arteriogram)
- d. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen

and if diag

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

4. Patient ≤ 1 year of age has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, localizing neurologic signs, or changing level of consciousness and

at least 1 of the following:

a. organisms seen on microscopic examination of brain or abscess tissue obtained by needle aspiration or by biopsy during a surgical operation or autopsy

- b. positive antigen test on blood or urine
- c. radiographic evidence of infection, (eg, abnormal findings on ultrasound, CT scan, MRI, radionuclide brain scan, or arteriogram)
- d. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

Reporting instruction

• If meningitis and a brain abscess are present together, report the infection as IC.

MEN-Meningitis or ventriculitis

Meningitis or ventriculitis must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from cerebrospinal fluid (CSF).
- Patient has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), headache, stiff neck, meningeal signs, cranial nerve signs, or irritability and
 - at least 1 of the following:
 - a. increased white cells, elevated protein, and/ or decreased glucose in CSF
 - b. organisms seen on Gram's stain of CSF
 - c. organisms cultured from blood
 - d. positive antigen test of CSF, blood, or urine
 - e. diagnostic single antibody titer (IgM) or 4-fold increase in paired sera (IgG) for pathogen

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if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

3. Patient ≤ 1 year of age has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, stiff neck, meningeal signs, cranial nerve signs, or irritability

and

at least 1 of the following:

- a. positive CSF examination with increased white cells, elevated protein, and/or decreased glucose
- b. positive Gram's stain of CSF
- c. organisms cultured from blood
- d. positive antigen test of CSF, blood, or urine
- e. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

Reporting instructions

- Report meningitis in the newborn as health careassociated *unless* there is compelling evidence indicating the meningitis was acquired transplacentally.
- Report CSF shunt infection as SSI-MEN if it occurs ≤1 year of placement; if later or after manipulation/access of the shunt, report as CNS-MEN.
- Report meningoencephalitis as MEN.
- Report spinal abscess *with* meningitis as MEN.

SA-Spinal abscess without meningitis

An abscess of the spinal epidural or subdural space, without involvement of the cerebrospinal fluid or adjacent bone structures, must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from abscess in the spinal epidural or subdural space.
- 2. Patient has an abscess in the spinal epidural or subdural space seen during a surgical operation or at autopsy or evidence of an abscess seen during a histopathologic examination.
- Patient has at least *1* of the following signs or symptoms with no other recognized cause: fever (>38°C), back pain, focal tenderness, radiculitis, paraparesis, or paraplegia and

at least 1 of the following:

- a. organisms cultured from blood
- b. radiographic evidence of a spinal abscess (eg, abnormal findings on myelography, ultrasound, CT scan, MRI, or other scans [gallium, technetium, etc]).

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

Reporting instruction

• Report spinal abscess *with* meningitis as MEN.

CVS-CARDIOVASCULAR SYSTEM INFECTION

VASC-Arterial or venous infection

Arterial or venous infection must meet at least 1 of the following criteria:

1. Patient has organisms cultured from arteries or veins removed during a surgical operation

and

blood culture *not* done or *no* organisms cultured from blood.

- 2. Patient has evidence of arterial or venous infection seen during a surgical operation or histopathologic examination.
- 3. Patient has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), pain, erythema, or heat at involved vascular site

and

more than 15 colonies cultured from intravascular cannula tip using semiquantitative culture method

and

blood culture *not* done or *no* organisms cultured from blood.

- 4. Patient has purulent drainage at involved vascular site
 - and

blood culture *not* done or *no* organisms cultured from blood.

5. Patient ≤1 year of age has at least *1* of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, lethargy, or pain, erythema, or heat at involved vascular site and

more than 15 colonies cultured from intravascular cannula tip using semiquantitative culture method

and

blood culture *not* done or *no* organisms cultured from blood.

Reporting instructions

- Report infections of an arteriovenous graft, shunt, or fistula or intravascular cannulation site without organisms cultured from blood as CVS-VASC.
- Report intravascular infections with organisms cultured from the blood as BSI-LCBI.

ENDO-Endocarditis

Endocarditis of a natural or prosthetic heart valve must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from valve or vegetation.
- Patient has 2 or more of the following signs or symptoms with no other recognized cause: fever (>38°C), new or changing murmur, embolic phenomena, skin manifestations (ie, petechiae, splinter hemorrhages, painful subcutaneous nodules),

congestive heart failure, or cardiac conduction abnormality

and

at least 1 of the following:

- a. organisms cultured from 2 or more blood cultures
- b. organisms seen on Gram's stain of valve when culture is negative or *not* done
- c. valvular vegetation seen during a surgical operation or autopsy
- d. positive antigen test on blood or urine (eg, *H influenzae*, *S pneumoniae*, *N meningitidis*, or Group B *Streptococcus*)
- e. evidence of new vegetation seen on echocardiogram

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

 Patient ≤1 year of age has 2 or more of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, new or changing murmur, embolic phenomena, skin manifestations (ie, petechiae, splinter hemorrhages, painful subcutaneous nodules), congestive heart failure, or cardiac conduction abnormality and

at least 1 of the following:

- a. organisms cultured from 2 or more blood cultures
- b. organisms seen on Gram's stain of valve when culture is negative or *not* done
- c. valvular vegetation seen during a surgical operation or autopsy
- d. positive antigen test on blood or urine (eg, *H influenzae*, *S pneumoniae*, *N meningitidis*, or Group B *Streptococcus*)
- e. evidence of new vegetation seen on echocardiogram

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

CARD-Myocarditis or pericarditis

Myocarditis or pericarditis must meet at least 1 of the following criteria:

- 1. Patient has organisms cultured from pericardial tissue or fluid obtained by needle aspiration or during a surgical operation.
- Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), chest pain, paradoxical pulse, or increased heart size

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- at least 1 of the following:
 - a. abnormal EKG consistent with myocarditis or pericarditis
 - b. positive antigen test on blood (eg, *H influenzae*, *S pneumoniae*)
 - c. evidence of myocarditis or pericarditis on histologic examination of heart tissue
 - d. 4-fold rise in type-specific antibody with or without isolation of virus from pharynx or feces
 - e. pericardial effusion identified by echocardiogram, CT scan, MRI, or angiography.
- 3. Patient ≤1 year of age has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, paradoxical pulse, or increased heart size and
 - at least 1 of the following:
 - a. abnormal EKG consistent with myocarditis or pericarditis
 - b. positive antigen test on blood (eg, *H influenzae, S pneumoniae*)
 - c. histologic examination of heart tissue shows evidence of myocarditis or pericarditis
 - d. 4-fold rise in type-specific antibody with or without isolation of virus from pharynx or feces
 - e. pericardial effusion identified by echocardiogram, CT scan, MRI, or angiography.

Comment

• Most cases of postcardiac surgery or postmyocardial infarction pericarditis are not infectious.

MED-Mediastinitis

Mediastinitis must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from mediastinal tissue or fluid obtained during a surgical operation or needle aspiration.
- 2. Patient has evidence of mediastinitis seen during a surgical operation or histopathologic examination.
- 3. Patient has at least *1* of the following signs or symptoms with no other recognized cause: fever (>38°C), chest pain, or sternal instability *and*
 - at least 1 of the following:
 - a. purulent discharge from mediastinal area
 - b. organisms cultured from blood or discharge from mediastinal area

c. mediastinal widening on x-ray.

4. Patient ≤1 year of age has at least *1* of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, or sternal instability *and*

at least 1 of the following:

- a. purulent discharge from mediastinal area
- b. organisms cultured from blood or discharge from mediastinal area
- c. mediastinal widening on x-ray.

Reporting instruction

• Report mediastinitis following cardiac surgery that is accompanied by osteomyelitis as SSI-MED rather than SSI-BONE.

EENT-EYE, EAR, NOSE, THROAT, OR MOUTH INFECTION

CONJ-Conjunctivitis

Conjunctivitis must meet at least *1* of the following criteria:

- 1. Patient has pathogens cultured from purulent exudate obtained from the conjunctiva or contiguous tissues, such as eyelid, cornea, meibomian glands, or lacrimal glands.
- 2. Patient has pain or redness of conjunctiva or around eye

and

at least 1 of the following:

- a. WBCs and organisms seen on Gram's stain of exudate
- b. purulent exudate
- c. positive antigen test (eg, ELISA or IF for *Chla-mydia trachomatis*, herpes simplex virus, adenovirus) on exudate or conjunctival scraping
- d. multinucleated giant cells seen on microscopic examination of conjunctival exudate or scrapings
- e. positive viral culture
- f. diagnostic single antibody titer (IgM) or 4-fold increase in paired sera (IgG) for pathogen.

Reporting instructions

- Report other infections of the eye as EYE.
- Do *not* report chemical conjunctivitis caused by silver nitrate (AgNO₃) as a health care–associated infection.
- Do *not* report conjunctivitis that occurs as a part of a more widely disseminated viral illness (such as measles, chickenpox, or a URI).

EYE-Eye, other than conjunctivitis

An infection of the eye, other than conjunctivitis, must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from anterior or posterior chamber or vitreous fluid.
- 2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: eye pain, visual disturbance, or hypopyon *and*

at least 1 of the following:

- a. physician diagnosis of an eye infection
- b. positive antigen test on blood (eg, *H influenzae*, *S pneumoniae*)
- c. organisms cultured from blood.

EAR-Ear mastoid

Ear and mastoid infections must meet at least 1 of the following criteria:

Otitis externa must meet at least 1 of the following criteria:

- 1. Patient has pathogens cultured from purulent drainage from ear canal.
- 2. Patient has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), pain, redness, or drainage from ear canal

organisms seen on Gram's stain of purulent drainage.

Otitis media must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from fluid from middle ear obtained by tympanocentesis or at surgical operation.
- Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), pain in the eardrum, inflammation, retraction or decreased mobility of eardrum, or fluid behind eardrum.

Otitis interna must meet at least 1 of the following criteria:

- 1. Patient has organisms cultured from fluid from inner ear obtained at surgical operation.
- 2. Patient has a physician diagnosis of inner ear infection.

Mastoiditis must meet at least *1* of the following criteria:

1. Patient has organisms cultured from purulent drainage from mastoid.

and

2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (> 38° C), pain, tenderness, erythema, headache, or facial paralysis

and

at least 1 of the following:

- a. organisms seen on Gram's stain of purulent material from mastoid
- b. positive antigen test on blood.

ORAL-Oral cavity (mouth, tongue, or gums)

Oral cavity infections must meet at least 1 of the following criteria:

- 1. Patient has organisms cultured from purulent material from tissues of oral cavity.
- 2. Patient has an abscess or other evidence of oral cavity infection seen on direct examination, during a surgical operation, or during a histopathologic examination.
- 3. Patient has at least *1* of the following signs or symptoms with no other recognized cause: abscess, ulceration, or raised white patches on inflamed mucosa, or plaques on oral mucosa *and*

at least 1 of the following:

- a. organisms seen on Gram's stain
- b. positive KOH (potassium hydroxide) stain
- c. multinucleated giant cells seen on microscopic examination of mucosal scrapings
- d. positive antigen test on oral secretions
- e. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen
- f. physician diagnosis of infection and treatment with topical or oral antifungal therapy.

Reporting instruction

• Report health care-associated primary herpes simplex infections of the oral cavity as ORAL; recurrent herpes infections are *not* health care-associated.

SINU-Sinusitis

Sinusitis must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from purulent material obtained from sinus cavity.
- 2. Patient has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), pain or tenderness over the involved sinus, headache, purulent exudate, or nasal obstruction

and

- at least 1 of the following:
 - a. positive transillumination
 - b. positive radiographic examination (including CT scan).

UR-Upper respiratory tract, pharyngitis, laryngitis, epiglottitis

Upper respiratory tract infections must meet at least *1* of the following criteria:

1. Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), erythema of pharynx, sore throat, cough, hoarseness, or purulent exudate in throat *and*

at least 1 of the following:

- a. organisms cultured from the specific site
- b. organisms cultured from blood
- c. positive antigen test on blood or respiratory secretions
- d. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen
- e. physician diagnosis of an upper respiratory infection.
- 2. Patient has an abscess seen on direct examination, during a surgical operation, or during a histopathologic examination.
- 3. Patient ≤1 year of age has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), hypothermia (<37°C rectal), apnea, bradycardia, nasal discharge, or purulent exudate in throat and

at least 1 of the following:

- a. organisms cultured from the specific site
- b. organisms cultured from blood
- c. positive antigen test on blood or respiratory secretions
- d. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen
- e. physician diagnosis of an upper respiratory infection.

GI-GASTROINTESTINAL SYSTEM INFECTION

GE-Gastroenteritis

Gastroenteritis must meet at least *1* of the following criteria:

1. Patient has an acute onset of diarrhea (liquid stools for more than 12 hours) with or without

vomiting or fever (>38°C) and no likely noninfectious cause (eg, diagnostic tests, therapeutic regimen other than antimicrobial agents, acute exacerbation of a chronic condition, or psychologic stress).

2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: nausea, vomiting, abdominal pain, fever (>38°C), or headache

and

at least 1 of the following:

- a. an enteric pathogen is cultured from stool or rectal swab
- b. an enteric pathogen is detected by routine or electron microscopy
- c. an enteric pathogen is detected by antigen or antibody assay on blood or feces
- d. evidence of an enteric pathogen is detected by cytopathic changes in tissue culture (toxin assay)
- e. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen.

GIT-Gastrointestinal tract (esophagus, stomach, small and large bowel, and rectum) excluding gastroenteritis and appendicitis

Gastrointestinal tract infections, excluding gastroenteritis and appendicitis, must meet at least 1 of the following criteria:

- 1. Patient has an abscess or other evidence of infection seen during a surgical operation or histopathologic examination.
- 2. Patient has at least 2 of the following signs or symptoms with no other recognized cause and compatible with infection of the organ or tissue involved: fever (>38°C), nausea, vomiting, abdominal pain, or tenderness *and*

at least 1 of the following:

- a. organisms cultured from drainage or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain
- b. organisms seen on Gram's or KOH stain or multinucleated giant cells seen on microscopic examination of drainage or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain
- c. organisms cultured from blood
- d. evidence of pathologic findings on radiographic examination
- e. evidence of pathologic findings on endoscopic examination (eg, *Candida* esophagitis or proctitis).

HEP-Hepatitis

Hepatitis must meet the following criterion:

Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), anorexia, nausea, vomiting, abdominal pain, jaundice, or history of transfusion within the previous 3 months

and

at least 1 of the following:

- a. positive antigen or antibody test for hepatitis A, hepatitis B, hepatitis C, or delta hepatitis
- b. abnormal liver function tests (eg, elevated ALT/ AST, bilirubin)
- c. cytomegalovirus (CMV) detected in urine or oropharyngeal secretions.

Reporting instructions

- Do *not* report hepatitis or jaundice of noninfectious origin (alpha-1 antitrypsin deficiency, etc).
- Do *not* report hepatitis or jaundice that results from exposure to hepatotoxins (alcoholic or acetaminophen-induced hepatitis, etc).
- Do *not* report hepatitis or jaundice that results from biliary obstruction (cholecystitis).

IAB-Intraabdominal, not specified elsewhere including gallbladder, bile ducts, liver (excluding viral hepatitis), spleen, pancreas, peritoneum, subphrenic or subdiaphragmatic space, or other intraabdominal tissue or area not specified elsewhere

Intraabdominal infections must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from purulent material from intraabdominal space obtained during a surgical operation or needle aspiration.
- 2. Patient has abscess or other evidence of intraabdominal infection seen during a surgical operation or histopathologic examination.
- Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), nausea, vomiting, abdominal pain, or jaundice

and

at least 1 of the following:

- a. organisms cultured from drainage from surgically placed drain (eg, closed suction drainage system, open drain, T-tube drain)
- b. organisms seen on Gram's stain of drainage or tissue obtained during surgical operation or needle aspiration

c. organisms cultured from blood and radiographic evidence of infection (eg, abnormal findings on ultrasound, CT scan, MRI, or radiolabel scans [gallium, technetium, etc] or on abdominal x-ray).

Reporting instruction

• Do not report pancreatitis (an inflammatory syndrome characterized by abdominal pain, nausea, and vomiting associated with high serum levels of pancreatic enzymes) unless it is determined to be infectious in origin.

NEC-Necrotizing enterocolitis

Necrotizing enterocolitis in infants must meet the following criterion:

Infant has at least 2 of the following signs or symptoms with no other recognized cause: vomiting, abdominal distention, or prefeeding residuals and

persistent microscopic or gross blood in stools and

at least 1 of the following abdominal radiographic abnormalities:

- a. pneumoperitoneum
- b. pneumatosis intestinalis
- c. unchanging "rigid" loops of small bowel.

LRI-LOWER RESPIRATORY TRACT INFECTION, **OTHER THAN PNEUMONIA**

BRON-Bronchitis, tracheobronchitis, bronchiolitis, tracheitis, without evidence of pneumonia

Tracheobronchial infections must meet at least 1 of the following criteria:

1. Patient has no clinical or radiographic evidence of pneumonia

and

patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), cough, new or increased sputum production, rhonchi, wheezing and

at least 1 of the following:

- a. positive culture obtained by deep tracheal aspirate or bronchoscopy
- b. positive antigen test on respiratory secretions.
- 2. Patient ≤ 1 year of age has no clinical or radiographic evidence of pneumonia and

patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C rectal), cough, new or increased sputum production, rhonchi, wheezing, respiratory distress, apnea, or bradycardia

and

- at least 1 of the following:
 - a. organisms cultured from material obtained by deep tracheal aspirate or bronchoscopy
 - b. positive antigen test on respiratory secretions
 - c. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen.

Reporting instruction

• Do not report chronic bronchitis in a patient with chronic lung disease as an infection unless there is evidence of an acute secondary infection, manifested by change in organism.

LUNG-Other infections of the lower respiratory tract

Other infections of the lower respiratory tract must meet at least 1 of the following criteria:

- 1. Patient has organisms seen on smear or cultured from lung tissue or fluid, including pleural fluid.
- 2. Patient has a lung abscess or empyema seen during a surgical operation or histopathologic examination.
- 3. Patient has an abscess cavity seen on radiographic examination of lung.

Reporting instructions

- Report concurrent lower respiratory tract infection and pneumonia with the same organism(s) as PNEU.
- Report lung abscess or empyema without pneumonia as LUNG.

REPR-REPRODUCTIVE TRACT INFECTION

EMET-Endometritis

Endometritis must meet at least 1 of the following criteria:

- 1. Patient has organisms cultured from fluid or tissue from endometrium obtained during surgical operation, by needle aspiration, or by brush biopsy.
- 2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever

(>38°C), abdominal pain, uterine tenderness, or purulent drainage from uterus.

Reporting instruction

• Report postpartum endometritis as a health careassociated infection *unless* the amniotic fluid is infected at the time of admission or the patient was admitted 48 hours after rupture of the membrane.

EPIS-Episiotomy

Episiotomy infections must meet at least 1 of the following criteria:

- 1. Postvaginal delivery patient has purulent drainage from the episiotomy.
- 2. Postvaginal delivery patient has an episiotomy abscess.

Comment

• Episiotomy is not considered an operative procedure in NHSN.

VCUF-Vaginal cuff

Vaginal cuff infections must meet at least 1 of the following criteria:

- 1. Posthysterectomy patient has purulent drainage from the vaginal cuff.
- 2. Posthysterectomy patient has an abscess at the vaginal cuff.
- 3. Posthysterectomy patient has pathogens cultured from fluid or tissue obtained from the vaginal cuff.

Reporting instruction

• Report vaginal cuff infections as SSI-VCUF.

OREP-Other infections of the male or female reproductive tract (epididymis, testes, prostate, vagina, ovaries, uterus, or other deep pelvic tissues, excluding endometritis or vaginal cuff infections)

Other infections of the male or female reproductive tract must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from tissue or fluid from affected site.
- 2. Patient has an abscess or other evidence of infection of affected site seen during a surgical operation or histopathologic examination.

- 3. Patient has 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), nausea, vomiting, pain, tenderness, or dysuria *and*
 - at least 1 of the following:
 - a. organisms cultured from blood
 - b. physician diagnosis.

Reporting instructions

- Report endometritis as EMET.
- Report vaginal cuff infections as VCUF.

SST-SKIN AND SOFT TISSUE INFECTION SKIN-Skin

Skin infections must meet at least *1* of the following criteria:

- 1. Patient has purulent drainage, pustules, vesicles, or boils.
- 2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: pain or tenderness, localized swelling, redness, or heat

and

- at least 1 of the following:
 - a. organisms cultured from aspirate or drainage from affected site; if organisms are normal skin flora (ie, diphtheroids [Corynebacterium spp], Bacillus [not B anthracis] spp, Propionibacterium spp, coagulase-negative staphylococci [including S epidermidis], viridans group streptococci, Aerococcus spp, Micrococcus spp), they must be a pure culture
 - b. organisms cultured from blood
 - c. positive antigen test performed on infected tissue or blood (eg, herpes simplex, varicella zoster, *H influenzae*, *N meningitidis*)
 - d. multinucleated giant cells seen on microscopic examination of affected tissue
 - e. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen.

Reporting instructions

- Report omphalitis in infants as UMB.
- Report infections of the circumcision site in newborns as CIRC.
- Report pustules in infants as PUST.
- Report infected decubitus ulcers as DECU.
- Report infected burns as BURN.
- Report breast abscesses or mastitis as BRST.

ST-Soft tissue (necrotizing fascitis, infectious gangrene, necrotizing cellulitis, infectious myositis, lymphadenitis, or lymphangitis)

Soft tissue infections must meet at least *1* of the following criteria:

- 1. Patient has organisms cultured from tissue or drainage from affected site.
- 2. Patient has purulent drainage at affected site.
- 3. Patient has an abscess or other evidence of infection seen during a surgical operation or histopathologic examination.
- 4. Patient has at least 2 of the following signs or symptoms at the affected site with no other recognized cause: localized pain or tenderness, redness, swelling, or heat

and

at least 1 of the following:

- a. organisms cultured from blood
- b. positive antigen test performed on blood or urine (eg, *H influenzae*, *S pneumoniae*, *N meningitidis*, Group B *Streptococcus*, *Candida* spp)
- c. diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen.

Reporting instructions

- Report infected decubitus ulcers as DECU.
- Report infection of deep pelvic tissues as OREP.

DECU-Decubitus ulcer, including both superficial and deep infections

Decubitus ulcer infections must meet the following criterion:

Patient has at least 2 of the following signs or symptoms with no other recognized cause: redness, tenderness, or swelling of decubitus wound edges *and*

at least 1 of the following:

- a. organisms cultured from properly collected fluid or tissue (see Comments)
- b. organisms cultured from blood.

Comments

- Purulent drainage alone is *not* sufficient evidence of an infection.
- Organisms cultured from the surface of a decubitus ulcer are *not* sufficient evidence that the ulcer is infected. A properly collected specimen from a decubitus ulcer involves needle aspiration of fluid or biopsy of tissue from the ulcer margin.

BURN-Burn

Burn infections must meet at least *1* of the following criteria:

1. Patient has a change in burn wound appearance or character, such as rapid eschar separation, or dark brown, black, or violaceous discoloration of the eschar, or edema at wound margin *and*

histologic examination of burn biopsy shows invasion of organisms into adjacent viable tissue.

2. Patient has a change in burn wound appearance or character, such as rapid eschar separation, or dark brown, black, or violaceous discoloration of the eschar, or edema at wound margin

and

at least 1 of the following:

- a. organisms cultured from blood in the absence of other identifiable infection
- b. isolation of herpes simplex virus, histologic identification of inclusions by light or electron microscopy, or visualization of viral particles by electron microscopy in biopsies or lesion scrapings.
- 3. Patient with a burn has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C) or hypothermia (<36°C), hypotension, oliguria (<20 cc/hr), hyperglycemia at previously tolerated level of dietary carbohydrate, or mental confusion

and

at least 1 of the following:

- a. histologic examination of burn biopsy shows invasion of organisms into adjacent viable tissue
- b. organisms cultured from blood
- c. isolation of herpes simplex virus, histologic identification of inclusions by light or electron microscopy, or visualization of viral particles by electron microscopy in biopsies or lesion scrapings.

Comments

- Purulence alone at the burn wound site is *not* adequate for the diagnosis of burn infection; such purulence may reflect incomplete wound care.
- Fever alone in a burn patient is *not* adequate for the diagnosis of a burn infection because fever may be the result of tissue trauma or the patient may have an infection at another site.
- Surgeons in Regional Burn Centers who take care of burn patients exclusively may require Criterion 1 for diagnosis of burn infection.

• Hospitals with Regional Burn Centers may further divide burn infections into the following: burn wound site, burn graft site, burn donor site, burn donor site-cadaver; NHSN, however, will code all of these as BURN.

BRST-Breast abscess or mastitis

A breast abscess or mastitis must meet at least *1* of the following criteria:

- 1. Patient has a positive culture of affected breast tissue or fluid obtained by incision and drainage or needle aspiration.
- 2. Patient has a breast abscess or other evidence of infection seen during a surgical operation or histopathologic examination.
- 3. Patient has fever (>38°C) and local inflammation of the breast

and

physician diagnosis of breast abscess.

Comment

• Breast abscesses occur most frequently after childbirth. Those that occur within 7 days after childbirth should be considered health care associated.

UMB-Oomphalitis

Omphalitis in a newborn (\leq 30 days old) must meet at least 1 of the following criteria:

1. Patient has erythema and/or serous drainage from umbilicus and

at least 1 of the following:

- a. organisms cultured from drainage or needle aspirate
- b. organisms cultured from blood.
- 2. Patient has both erythema and purulence at the umbilicus.

Reporting instructions

- Report infection of the umbilical artery or vein related to umbilical catheterization as VASC if there is no accompanying blood culture or a blood culture is negative.
- Report as health care associated if infection occurs in a newborn within 7 days of hospital discharge.

PUST-Infant pustulosis

Pustulosis in an infant (≤ 1 year old) must meet at least 1 of the following criteria:

1. Infant has *1* or more pustules *and*

physician diagnosis of skin infection.

2. Infant has *1* or more pustules *and* physician institutes appropriate antimicrobial therapy.

Reporting instructions

- Do *not* report erythema toxicum and noninfectious causes of pustulosis.
- Report as health care associated if pustulosis occurs in an infant within 7 days of hospital discharge.

CIRC-Newborn circumcision

Circumcision infection in a newborn (\leq 30 days old) must meet at least 1 of the following criteria:

- 1. Newborn has purulent drainage from circumcision site.
- 2. Newborn has at least *1* of the following signs or symptoms with no other recognized cause at circumcision site: erythema, swelling, or tenderness *and*

pathogen cultured from circumcision site.

3. Newborn has at least *1* of the following signs or symptoms with no other recognized cause at circumcision site: erythema, swelling, or tenderness *and*

skin contaminant (ie, diphtheroids [*Corynebacterium* spp], *Bacillus* [not *B anthracis*] spp, *Propionibacterium* spp, coagulase-negative staphylococci [including *S epidermidis*], viridans group streptococci, *Aerococcus* spp, *Micrococcus* spp) is cultured from circumcision site

anđ

physician diagnosis of infection or physician institutes appropriate therapy.

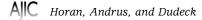
SYS-SYSTEMIC INFECTION

DI-Disseminated infection

Disseminated infection is infection involving multiple organs or systems, without an apparent single site of infection, usually of viral origin, and with signs or symptoms with no other recognized cause and compatible with infectious involvement of multiple organs or systems.

Reporting instructions

• Use this code for viral infections involving multiple organ systems (eg, measles, mumps, rubella, varicella, erythema infectiosum). These infections often can be identified by clinical criteria alone. Do *not* use this code for health care-associated



infections with multiple metastatic sites, such as with bacterial endocarditis; only the primary site of these infections should be reported.

- Do not report fever of unknown origin (FUO) as DI.
- Report neonatal "sepsis" as CSEP.
- Report viral exanthems or rash illness as DI.

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APPENDIX. PNEU-PNEUMONIA

There are 3 specific types of pneumonia: clinically defined pneumonia (PNU1), pneumonia with specific laboratory findings (PNU2), and pneumonia in immunocompromised patients (PNU3). Listed below are general comments applicable to all specific types of pneumonia, along with abbreviations used in the algorithms (Tables 4-7) and reporting instructions. Table 8 shows threshold values for cultured specimens used in the surveillance diagnosis of pneumonia. Figures 1 and 2 are flow diagrams for the pneumonia algorithms that may be used as data collection tools.

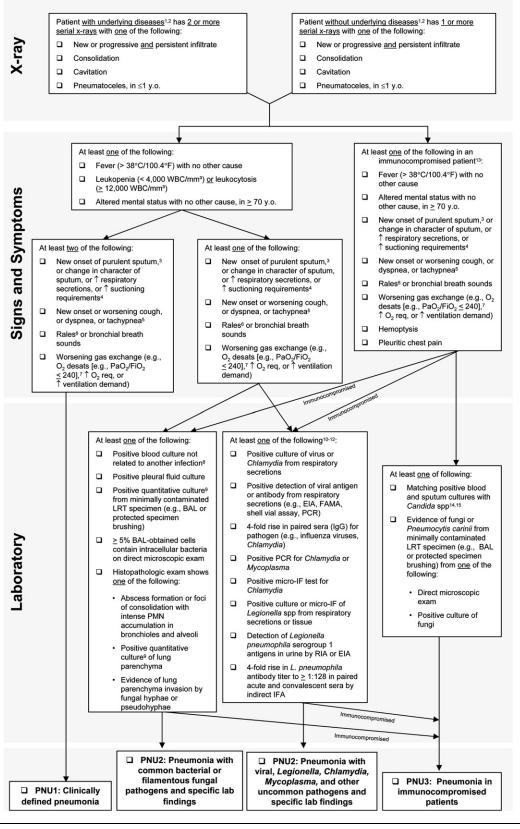
General comments

- 1. Physician diagnosis of pneumonia alone is not an acceptable criterion for health care-associated pneumonia.
- 2. Although specific criteria are included for infants and children, pediatric patients may meet any of the other pneumonia specific site criteria.
- 3. Ventilator-associated pneumonia (ie, pneumonia in persons who had a device to assist or control respiration continuously through a tracheostomy or by endotracheal intubation within the 48-hour period before the onset of infection, inclusive of the weaning period) should be so designated when reporting data.
- 4. When assessing a patient for presence of pneumonia, it is important to distinguish between changes in clinical status due to other conditions such as myocardial infarction, pulmonary embolism, respiratory distress syndrome, atelectasis, malignancy, chronic obstructive pulmonary

disease, hyaline membrane disease, bronchopulmonary dysplasia, etc. Also, care must be taken when assessing intubated patients to distinguish between tracheal colonization, upper respiratory tract infections (eg, tracheobronchitis), and early onset pneumonia. Finally, it should be recognized that it may be difficult to determine health careassociated pneumonia in the elderly, infants, and immunocompromised patients because such conditions may mask typical signs or symptoms associated with pneumonia. Alternate specific criteria for the elderly, infants and immunocompromised patients have been included in this definition of health care-associated pneumonia.

- 5. Health care-associated pneumonia can be characterized by its onset: early or late. Early onset pneumonia occurs during the first 4 days of hospitalization and is often caused by *Moraxella catarrhalis*, *H influenzae*, and *S pneumoniae*. Causative agents of late onset pneumonia are frequently gram negative bacilli or *S aureus*, including methicillin-resistant *S aureus*. Viruses (eg, influenza A and B or respiratory syncytial virus) can cause early and late onset nosocomial pneumonia, whereas yeasts, fungi, legionellae, and *Pneumocystis carinii* are usually pathogens of late onset pneumonia.
- 6. Pneumonia due to gross aspiration (for example, in the setting of intubation in the emergency room or operating room) is considered health care associated if it meets any specific criteria and was not clearly present or incubating at the time of admission to the hospital.
- 7. Multiple episodes of health care-associated pneumonia may occur in critically ill patients with lengthy hospital stays. When determining whether to report multiple episodes of health care-associated pneumonia in a single patient, look for evidence of resolution of the initial infection. The addition of or change in pathogen alone is not indicative of a new episode of pneumonia. The combination of new signs and symptoms and radiographic evidence or other diagnostic testing is required.
- 8. Positive Gram stain for bacteria and positive KOH (potassium hydroxide) mount for elastin fibers and/or fungal hyphae from appropriately collected sputum specimens are important clues that point toward the etiology of the infection. However, sputum samples are frequently contaminated with airway colonizers and therefore must be interpreted cautiously. In particular, *Candida* is commonly seen on stain, but infrequently causes healthcare-associated pneumonia.

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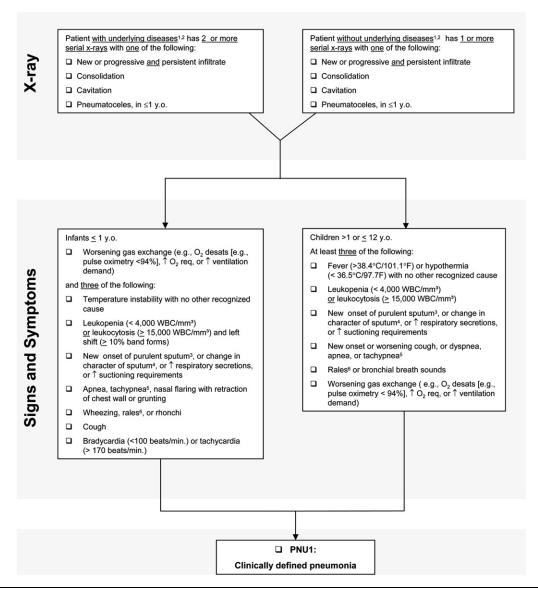


Fig 2. Pneumonia flow diagram alternate criteria for infants and children.

Abbreviations

- BAL-bronchoalveolar lavage
- EIA-enzyme immunoassay
- FAMA-fluorescent-antibody staining of
- membrane antigen
- IFA-immunofluorescent antibody
- LRT-lower respiratory tract
- PCR-polymerase chain reaction
- PMN-polymorphonuclear leukocyte
- RIA-radioimmunoassay

Reporting instructions

• There is a hierarchy of specific categories within the major type pneumonia (PNEU). Even if a

patient meets criteria for more than 1 specific site, report only 1:

- If a patient meets criteria for both PNU1 and PNU2, report PNU2.
- If a patient meets criteria for both PNU2 and PNU3, report PNU3.
- If a patient meets criteria for both PNU1 and PNU3, report PNU3.
- Report concurrent lower respiratory tract infection (eg, abscess or empyema) and pneumonia with the same organism(s) as pneumonia.
- Lung abscess or empyema *without* pneumonia are classified as LUNG.
- Bronchitis, tracheitis, tracheobronchitis, or bronchiolitis *without* pneumonia are classified as BRON.

Table 4. Algorithms for clinically defined pneumonia (PNUI)

Radiology	Signs/Symptoms	
Two or more serial chest radiographs		
 with at least 1 of the following^{1,2}: New or progressive and persistent 	FOR ANY PATIENT, at least 1 of the following:	

- . infiltrate
- Consolidation
- Cavitation
- Pneumatoceles, in infants $\leq I$ year old

NOTE: In patients without underlying pulmonary or cardiac disease (eg, respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), I definitive chest radiograph is acceptable.¹

- Fever ($>38^{\circ}C$ or $>100.4^{\circ}F$) with no other recognized cause
- Leukopenia (<4000 WBC/mm³) or leukocytosis (≥12,000 WBC/mm³)
- For adults \geq 70 years old, altered mental status with no other recognized cause

and

- at least 2 of the following:
- New onset of purulent sputum³ or change in character of sputum⁴ or increased respiratory secretions or increased suctioning requirements
- New onset or worsening cough, or dyspnea, or tachypnea⁵
- Rales⁶ or bronchial breath sounds
- Worsening gas exchange (eg, O₂ desaturations [eg, PaO₂/FiO₂ ≤ 240],⁷ increased oxygen requirements, or increased ventilator demand)

ALTERNATE CRITERIA, for infants $\leq I$ year old:

Worsening gas exchange (eg, O₂ desaturations, increased oxygen requirements, or increased ventilator demand)

and

at least 3 of the following:

- Temperature instability with no other recognized cause
- Leukopenia (<4000 WBC/mm³) or leukocytosis (≥15,000 WBC/mm³) and left shift (≥10% band forms)
- New onset of purulent sputum³ or change in character of sputum,⁴ or increased respiratory secretions or increased suctioning requirements
- Apnea, tachypnea,⁵ nasal flaring with retraction of chest wall or grunting
- Wheezing, rales,⁶ or rhonchi
- Cough
- Bradycardia (<100 beats/min) or tachycardia (>170 beats/min)

ALTERNATE CRITERIA, for child >1 year old or ≤ 12 years old, at least 3 of the following:

- Fever (>38.4°C or >101.1°F) or hypothermia (<36.5°C or <97.7°F) with no other recognized cause
- Leukopenia (<4000 WBC/mm³) or leukocytosis (≥15,000 WBC/mm³)
- New onset of purulent sputum³ or change in character of sputum⁴ or increased respiratory secretions or increased suctioning requirements
- New onset or worsening cough or dyspnea, apnea, or tachypnea⁵
- Rales⁶ or bronchial breath sounds
- Worsening gas exchange (eg, O₂ desaturations [eg, pulse oximetry <94%], increased oxygen requirements, or increased ventilator demand)

Footnotes to Algorithms:

I. Occasionally, in nonventilated patients, the diagnosis of health care-associated pneumonia may be quite clear on the basis of symptoms, signs, and a single definitive chest radiograph. However, in patients with pulmonary or cardiac disease (for example, interstitial lung disease or congestive heart failure), the diagnosis of pneumonia may be particularly difficult. Other noninfectious conditions (for example, pulmonary edema from decompensated congestive heart failure) may simulate the presentation of pneumonia. In these more difficult cases, serial chest radiographs must be examined to help separate infectious from noninfectious pulmonary processes. To help confirm difficult cases, it may be useful to review radiographs on the day of diagnosis, 3 days prior to the diagnosis and on days 2 and 7 after the diagnosis. Pneumonia may have rapid onset and progression, but does not resolve quickly. Radiographic changes of pneumonia persist for several weeks. As a result, rapid radiographic resolution suggests that the patient does *not* have pneumonia but rather a noninfectious process such as atelectasis or congestive heart failure.

2. Note that there are many ways of describing the radiographic appearance of pneumonia. Examples include, but are not limited to, "air-space disease," "focal opacification," "patchy areas of increased density." Although perhaps not specifically delineated as pneumonia by the radiologist, in the appropriate clinical setting these alternative descriptive wordings should be seriously considered as potentially positive findings.

- 3. Purulent sputum is defined as secretions from the lungs, bronchi, or trachea that contain \geq 25 neutrophils and \leq 10 squamous epithelial cells per low power field (x100). If your laboratory reports these data qualitatively (eg, "many WBCs" or "few squames"), be sure their descriptors match this definition of purulent sputum. This laboratory confirmation is required because written clinical descriptions of purulence are highly variable.
- 4. A single notation of either purulent sputum or change in character of the sputum is not meaningful; repeated notations over a 24-hour period would be more indicative of the onset of an infectious process. Change in character of sputum refers to the color, consistency, odor, and quantity.

and convalescent sera by indirect IFA

Table 5. Algorithms for pneumonia with common bacterial or filamentous fungal pathogens and specific laboratory findings(PNU2)

Radiology	Signs/Symptoms	Laboratory
 Two or more serial chest radiographs with at least 1 of the following^{1,2}: New or progressive and persistent infiltrate Consolidation Cavitation Pneumatoceles, in infants ≤1 year old NOTE: In patients without underlying pulmonary or cardiac disease (eg, respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary deema, or chronic obstructive pulmonary disease), 1 definitive chest radiograph is acceptable.¹ 	 At least 1 of the following: Fever (>38°C or >100.4°F) with no other recognized cause Leukopenia (<4000 WBC/mm³) or leukocytosis (≥12,000 WBC/mm³) For adults ≥70 years old, altered mental status with no other recognized cause and at least 1 of the following: New onset of purulent sputum³ or change in character of sputum⁴ or increased respiratory secretions or increased suctioning requirements New onset or worsening cough or dyspnea or tachypnea⁵ Rales⁶ or bronchial breath sounds Worsening gas exchange (eg, O₂ desaturations [eg, PaO₂/FiO₂ ≤240]⁷, increased oxygen requirements, or increased ventilator demand) 	 At least 1 of the following: Positive growth in blood culture⁸ not related to another source of infection Positive growth in culture of pleural fluid Positive quantitative culture⁹ from minimally contaminated LRT specimen (eg BAL or protected specimen brushing) ≥5% BAL-obtained cells contain intracellular bacteria on direct microscopie exam (eg, Gram stain) Histopathologic exam shows at least 1 of the following evidences of pneumonia: Abscess formation or foci of consolidation with intense PMN accumulation in bronchioles and alveoli Positive quantitative culture⁹ of lung parenchyma Evidence of lung parenchyma invasion by fungal hyphae or pseudohyphae

Table 6. Algorithms for pneumonia with viral, Legionella, Chlamydia, Mycoplasma, and other uncommon pathogens and specific laboratory findings (PNU2)

Radiology	Signs/Symptoms	Laboratory
 Two or more serial chest radiographs with at least 1 of the following^{1,2}: New or progressive and persistent infiltrate Consolidation Cavitation Pneumatoceles, in infants ≤1 year old NOTE: In patients without underlying pulmonary or cardiac disease (eg, respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), <i>1 definitive</i> chest radiograph is acceptable.¹ 	 At least 1 of the following: Fever (>38°C or >100.4°F) with no other recognized cause Leukopenia (<4000 WBC/mm³) or leukocytosis (≥12,000 WBC/mm³) For adults ≥70 years old, altered mental status with no other recognized cause and at least 1 of the following: New onset of purulent sputum³ or change in character of sputum⁴ or increased respiratory secretions or increased suctioning requirements New onset or worsening cough or dyspnea or tachypnea⁵ Rales⁶ or bronchial breath sounds Worsening gas exchange (eg, O₂ desaturations [eg, PaO₂/FiO₂ ≤240],⁷ 	 At least I of the following¹⁰⁻¹²: Positive culture of virus or Chlamydia from respiratory secretions Positive detection of viral antigen or antibody from respiratory secretions (eg, EIA, FAMA, shell vial assay, PCR) Four-fold rise in paired sera (IgG) for pathogen (eg, influenza viruses, Chlamydia) Positive PCR for Chlamydia or Mycoplasma Positive micro-IF test for Chlamydia Positive culture or visualization by micro-IF of Legionella sp, from respiratory secretions or tissue Detection of Legionella pneumophila serogroup I antigens in urine by RIA or EIA Four-fold rise in L pneumophila serogroup I antibody titer to ≥1:128 in paired acute

5. In adults, tachypnea is defined as respiration rate >25 breaths per minute. Tachypnea is defined as >75 breaths per minute in premature infants born at <37 weeks gestation and until the 40th week; >60 breaths per minute in infants <2 months old; >50 breaths per minute in infants 2 to 12 months old; and >30 breaths per minute in children > I year old.

increased oxygen requirements, or

increased ventilator demand)

- 6. Rales may be described as "crackles."
- 7. This measure of arterial oxygenation is defined as the ratio of the arterial tension (PaO_2) to the inspiratory fraction of oxygen (FiO_2).
- 8. Care must be taken to determine the etiology of pneumonia in a patient with positive blood cultures and radiographic evidence of pneumonia, especially if the patient has invasive devices in place such as intravascular lines or an indwelling urinary catheter. In general, in an immunocompetent patient, blood cultures positive for coagulase-negative staphylococci, common skin contaminants, and yeasts will not be the etiologic agent of the pneumonia.
- 9. Refer to threshold values for cultured specimens (Table 8). An endotracheal aspirate is not a minimally contaminated specimen. Therefore, an endotracheal aspirate does not meet the laboratory criteria.
- 10. Once laboratory-confirmed due to pneumonia because of respiratory syncytial virus (RSV), adenovirus, or influenza virus have been identified in a hospital, clinician's presumptive diagnosis of these pathogens in subsequent cases with similar clinical signs and symptoms is an acceptable criterion for presence of health care-associated infection.

Table 7. Algorithms for pneur	monia in immunocomp	romised patients (PNU3)
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Radiology	Signs/Symptoms	Laboratory
 Two or more serial chest radiographs with at least 1 of the following^{1,2}: New or progressive and persistent infiltrate Consolidation Cavitation Pneumatoceles, in infants ≤1 year old NOTE: In patients without underlying pulmonary or cardiac disease (eg, respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), 1 definitive chest radiograph is acceptable.¹ 	 Patient who is immunocompromised¹³ has at least 1 of the following: Fever (>38°C or >100.4°F) with no other recognized cause For adults ≥70 years old, altered mental status with no other recognized cause New onset of purulent sputum³ or change in character of sputum⁴ or increased respiratory secretions or increased suctioning requirements New onset or worsening cough or dyspnea or tachypnea⁵ Rales⁶ or bronchial breath sounds Worsening gas exchange (eg, O₂ desaturations [eg, PaO₂/FiO₂ ≤240],⁷ increased oxygen requirements, or increased ventilator demand) Hemoptysis Pleuritic chest pain 	 At least I of the following: Matching positive blood and sputum cultures with <i>Candida</i> spp^{14,15} Evidence of fungi or <i>Pneumocystis carini</i> from minimally contaminated LRT specimen (eg, BAL or protected specimen brushing) from I of the following: Direct microscopic exam Positive culture of fungi Any of the laboratory criteria defined under PNU2

11. Scant or watery sputum is commonly seen in adults with pneumonia due to viruses and Mycoplasma although sometimes the sputum may be mucopurulent. In infants, pneumonia due to RSV or influenza yields copious sputum. Patients, except premature infants, with viral or Mycoplasmal pneumonia may exhibit few signs or symptoms, even when significant infiltrates are present on radiographic exam.

12. Few bacteria may be seen on stains of respiratory secretions from patients with pneumonia due to Legionella spp, mycoplasma, or viruses.

13. Immunocompromised patients include those with neutropenia (absolute neutrophil count <500/mm³), leukemia, lymphoma, HIV with CD4 count <200, or splenectomy; those who are early posttransplantation, are on cytotoxic chemotherapy, or are on high-dose steroids (e.g., >40mg of prednisone or its equivalent [>160mg hydrocortisone, >32mg methylprednisolone, >6mg dexamethasone, >200mg cortisone] daily for >2weeks).

14. Blood and sputum specimens must be collected within 48 hours of each other.

15. Semiquantitative or nonquantitative cultures of sputum obtained by deep cough, induction, aspiration, or lavage are acceptable. If quantitative culture results are available, refer to algorithms that include such specific laboratory findings.

Table 8.	Threshold values for cultured specimens used in
the diagno	osis of pneumonia

Specimen collection/technique	Values
Lung parenchyma*	$\geq 10^4$ cfu/g tissue
Bronchoscopically obtained specimens	•
Bronchoalveolar lavage	\geq 10 ⁴ cfu/mL
Protected BAL	\geq 10 ⁴ cfu/mL
Protected specimen brushing	\geq 10 ⁴ cfu/mL
Nonbronchoscopically obtained (blind) specimens	
Bronchoalveolar lavage	$\geq 10^4 \text{ cfu/mL}$
Protected BAL	$\geq \! 10^4 \text{ cfu/mL}$

cfu, colony-forming units.

*Open-lung biopsy specimens and immediate post-mortem specimens obtained by transthoracic or transbronchial biopsy.

Criterion	Urinary Tract Infection (UTI)
	Symptomatic Urinary Tract Infection (SUTI)
	Must meet at least 1 of the following criteria
1a	Patient had an indwelling urinary catheter in place at the time of specimen collection and at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), suprapubic tenderness, or costovertebral angle pain or tenderness and a positive urine culture of ≥10 ⁵ colony-forming units (CFU)/ml with no more than 2 species of microorganisms.
	OROR
	Patient had indwelling urinary catheter <u>removed within the 48 hours prior</u> to specimen collection <i>and</i> at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), urgency, frequency, dysuria, suprapubic tenderness, or costovertebral angle pain or tenderness <i>and</i>
	a positive urine culture of ≥10 ⁵ colony-forming units (CFU)/ml with no more than 2 species of microorganisms.
1b	Patient did not have an indwelling urinary catheter in place at the time of specimen collection nor within 48 hours prior to specimen collection and has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C) in a patient that is ≤ 65 years of age, urgency, frequency, dysuria, suprapubic tenderness, or costovertebral angle pain or tenderness and a positive urine culture of $\geq 10^5$ CFU/ml with no more than 2 species of microorganisms.
2a	 Patient had an indwelling urinary catheter in place at the time of specifies of the congutation of and at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), suprapubic tenderness, or costovertebral angle pain or tenderness and a positive urinalysis demonstrated by at least 1 of the following findings: a. positive dipstick for leukocyte esterase and/or nitrite b. pyuria (urine specimen with ≥10 white blood cells [WBC]/mm³ of unspun urine or ≥3 WBC/high power field of spun urine) c. microorganisms seen on Gram stain of unspun urine and a positive urine culture of ≥10³ and <10⁵ CFU/ml with no more than 2 species of microorganisms.
	OROR
	Patient had indwelling urinary catheter <u>removed within the 48 hours prior</u> to specimen collection and
	at least 1 of the following signs or symptoms with no other recognized cause:

Criterion	Urinary Tract Infection (UTI)
	fever (>38°C), urgency, frequency, dysuria, suprapubic tenderness, or costovertebral angle pain
	or tenderness
	and
	a positive urinalysis demonstrated by at least 1 of the following findings:
	a. positive dipstick for leukocyte esterase and/or nitrite
	b. pyuria (urine specimen with ≥ 10 white blood cells [WBC]/mm ³ of unspun urine or ≥ 3
	WBC/high power field of spun urine)
	c. microorganisms seen on Gram stain of unspun urine
	and
	a positive urine culture of $\geq 10^3$ and $< 10^5$ CFU/ml with no more than 2 species of microorganisms.
2b	Patient did <u>not</u> have an indwelling urinary catheter in place at the time of specimen collection
	nor within 48 hours prior to specimen collection
	and
	has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C) in
	a patient that is ≤65 years of age, urgency, frequency, dysuria, suprapubic tenderness, or
	costovertebral angle pain or tenderness
	and
	a positive urinalysis demonstrated by at least 1 of the following findings:
	a. positive dipstick for leukocyte esterase and/or nitrite
	b. pyuria (urine specimen with ≥ 10 WBC/mm ³ of unspun urine or ≥ 3 WBC/high power field
	of spun urine)
	c. microorganisms seen on Gram stain of unspun urine
	and
	a positive urine culture of \geq 103 and <105 CFU/ml with no more than 2 species of
	microorganisms.
3	Patient ≤1 year of age with or without an indwelling urinary catheter has at least 1 of the
	following signs or symptoms with no other recognized cause: fever (>38°C core), hypothermia
	(<36°C core), apnea, bradycardia, dysuria, lethargy, or vomiting
	and
	a positive urine culture of $\geq 10^5$ CFU/ml with no more than 2 species of microorganisms.
4	Patient ≤ 1 year of age with or without an indwelling urinary catheter has at least 1 of the
•	following signs or symptoms with no other recognized cause: fever (>38°C core), hypothermia
	(<36°C core), apnea, bradycardia, dysuria, lethargy, or vomiting
	and
	a positive urinalysis demonstrated by at least one of the following findings:
	a. positive dipstick for leukocyte esterase and/or nitrite
	a. positive dipster for fedrocyte esterase and/or indice
	b. pyuria (urine specimen with ≥ 10 WBC/mm ³ of unspun urine or ≥ 3 WBC/high power field
	of spun urine)
	c. microorganisms seen on Gram's stain of unspun urine
	and a positive urine culture of between $\geq 10^3$ and $< 10^5$ CFU/ml with no more than two species of
Critorian	microorganisms.
Criterion	Asymptomatic Bacteremic Urinary Tract Infection (ABUTI)
	Patient with or without an indwelling urinary catheter has <u>no</u> signs or symptoms (i.e., for any age
	patient, <u>no</u> fever (>38°C), urgency, frequency, dysuria, suprapubic tenderness, or costovertebral
	angle pain or tenderness, <u>OR</u> for a patient ≤ 1 year of age, <u>no</u> fever (>38°C core), hypothermia
	(<36°C core), apnea, bradycardia, dysuria, lethargy, or vomiting)

Criterion	Urinary Tract Infection (UTI)	
	and	
	a positive urine culture of >105 CFU/ml with no more than 2 species of uropathogen	
	microorganisms*	
	and	
	a positive blood culture with at least 1 matching uropathogen microorganism to the urine	
	culture, or at least 2 matching blood cultures drawn on separate occasions if the	
	matching pathogen is a common skin contaminant.	
	* For ABUTI, report only isolate(s) in both blood and urine specimens.	
	* Uropathogen microorganisms are: Gram-negative bacilli, Staphylococcus spp., yeasts, beta-	
	hemolytic Streptococcus spp., Enterococcus spp., G. vaginalis, Aerococcus urinae, and	
	Corynebacterium (urease positive).	
Comments	 Urinary catheter tips should not be cultured and are not acceptable for the diagnosis of a urinary tract infection. 	
	• Urine cultures must be obtained using appropriate technique, such as clean catch collection	
	or catheterization. Specimens from indwelling catheters should be aspirated through the	
	disinfected sampling ports.	
	In infants, urine cultures should be obtained by bladder catheterization or suprapubic	
	aspiration; positive urine cultures from bag specimens are unreliable and should be	
	confirmed by specimens aseptically obtained by catheterization or suprapubic aspiration.	
	• Urine specimens for culture should be processed as soon as possible, preferably within 1 to 2	
	hours. If urine specimens cannot be processed within 30 minutes of collection, they should	
	be refrigerated, or inoculated into primary isolation medium before transport, or	
	transported in an appropriate urine preservative. Refrigerated specimens should be cultured	
	within 24 hours.	
	• Urine specimen labels should indicate whether or not the patient is symptomatic.	
	• Report secondary bloodstream infection = "Yes" for all cases of Asymptomatic Bacteremic	
	Urinary Tract Infection (ABUTI).	
	Report only pathogens in both blood and urine specimens for ABUTI.	
	• Report Corynebacterium (urease positive) as either Corynebacterium species unspecified	
	(COS) or, as C. urealyticum (CORUR) if so speciated.	
Criterion	Other Urinary Tract Infection (OUTI) (kidney, ureter, bladder, urethra, or tissue surrounding	
	the retroperineal or perinephric space)	
	Other infections of the urinary tract must meet at least 1 of the following criteria:	
1	Patient has microorganisms isolated from culture of fluid (other than urine) or tissue from	
	affected site.	
2	Patient has an abscess or other evidence of infection seen on direct examination, during a	
	surgical operation, or during a histopathologic examination.	
3	Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever	
	(>38°C), localized pain, or localized tenderness at the involved site	
	and	
	at least 1 of the following:	
	a. purulent drainage from affected site	
	b. microorganisms cultured from blood that are compatible with suspected site of	
	 b. microorganisms cultured from blood that are compatible with suspected site of infection 	

Criterion	Urinary Tract Infection (UTI)		
4	Patient < 1 year of age has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C core), hypothermia (<36°C core), apnea, bradycardia, lethargy, or vomiting and		
	 at least 1 of the following: a. purulent drainage from affected site b. microorganisms cultured from blood that are compatible with suspected site of infection 		
	c. radiographic evidence of infection, (e.g., abnormal ultrasound, CT scan, magnetic resonance imaging [MRI], or radiolabel scan [gallium, technetium]).		
Comment	Report infections following circumcision in newborns as SST-CIRC.		